

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Shigehiro YAMAGUCHI et al.

Application No.: 10/578,352 Group: 1621

Filing Date: December 29, 2008 Examiner: N/A

For: POLYCYCLIC FUSED RING TYPE π -CONJUGATED ORGANIC MATERIAL, INTERMEDIATE THEREFOR, PROCESS FOR PRODUCING POLYCYCLIC FUSED RING TYPE π -CONJUGATED ORGANIC MATERIAL, AND PROCESS FOR PRODUCING INTERMEDIATE OF POLYCYCLIC FUSED RING TYPE π -CONJUGATED ORGANIC MATERIAL

ATTENTION: **Refund Section**
Accounting Division
OFFICE OF FINANCE

Customer Service Window
 Randolph Building
 401 Dulany Street
 Alexandria, VA 22314

July 23, 2009

REQUEST FOR A REFUND

Sir:

Applicants request a refund of the \$440 additional independent claims fee and the \$130 fee for a late filing of the English Translation charged to our deposit account number 08-0750 on January 26, 2009. Applicants submit that this application was filed in English on May 5, 2006 and further that an excess independent claims fee of \$2600 was also paid at the time of filing of the application on May 5, 2006.

DOCUMENTATION

Attached hereto is a copy of the Application Transmittal filed May 5, 2006 showing fees paid including the \$2600 excess independent claims fee. Also enclosed is a copy of check #21057 for \$3630

REMARKS

Applicants hereby request a refund of \$570 in view of the above remarks and documentation.

It is respectfully requested that the excess fee of **\$570** be credited to Deposit Account No. **08-0750**.

Favorable action on the present Request is respectfully requested.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 08-0750 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

By: 
Donald J. Daley
Reg. No. 34,313

DJD/clc

P.O. Box 8910
Reston, VA 20195
(703) 668-8000

THREE THOUSAND SIX HUNDRED THIRTY AND 00/100 DOLLARS

NUMBER	DATE	AMOUNT
21057	05/05/2006	\$*****3,630.00

VOID AFTER 90 DAYS

DIRECTOR OF THE U.S. PATENT AND TRADEMARK OFFICE

12480-U00179/US

Cherry

MP

AUTHORIZED SIGNATURES

SECURITY FEATURES INCLUDED. DETAILS ON BACK.

#021057# 055002707# 704530562#

Applicant: Shigehiro YAMAGUCHI	Case No.: 12480-U00179/US
Serial No.: New Application	Filing Date: May 5, 2006
Title: POLYCYCLIC FUSED RING TYPE π -CONJUGATED ORGANIC MATERIAL, INTERMEDIATE THEREFOR, PROCESS FOR PRODUCING POLYCYCLIC FUSED RING TYPE π -CONJUGATED ORGANIC MATERIAL, AND PROCESS FOR PRODUCING INTERMEDIATE OF POLYCYCLIC FUSED RING TYPE π -CONJUGATED ORGANIC MATERIAL	
Please acknowledge receipt of: Check #21057 for \$3630.00 PCT Transmittal Preliminary Amendment Information Disclosure Statement PTO-1449 Form Listing 4 & Submitting 3 Ref.'s, ISR PCT Forms International Application as filed English Translation of Int'l App as filed (67 pages) Formal Drawing (1 sheet)	
By stamping and returning to Harness, Dickey & Pierce, P.L.C.	
USPTO Date Stamp	
Due: 05/07/2006	Attorney: DJD/jap
FILED: 05/05/2006	

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/JP2004/016433	November 5, 2004	November 7, 2003 and July 30, 2004

TITLE OF INVENTION

POLYCYCLIC FUSED RING TYPE π -CONJUGATED ORGANIC MATERIAL, INTERMEDIATE THEREFOR, PROCESS FOR PRODUCING POLYCYCLIC FUSED RING TYPE π -CONJUGATED ORGANIC MATERIAL, AND PROCESS FOR PRODUCING INTERMEDIATE OF POLYCYCLIC FUSED RING TYPE π -CONJUGATED ORGANIC MATERIAL

APPLICANT(S) FOR DO/EO/US

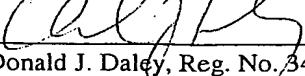
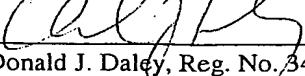
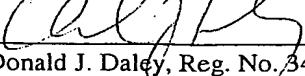
Shigehiro YAMAGUCHI and Caihong XU

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1).
4. The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. has been transmitted by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is transmitted herewith.
 - b. has been previously submitted under 35 U.S.C. 154(d)(4)
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. have been transmitted by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 20. below concern document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98-1449, International Search Report (PCT/ISA/210) in English and PTO Form 1449 listing 4 & submitting 3 Ref.'s, ISR
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A FIRST preliminary amendment.
14. A SECOND or SUBSEQUENT preliminary amendment.
15. A substitute specification.
16. A change of power of attorney and/or address letter.
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
18. A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. Other items or information: 1 sheet of Formal Drawings, PCT Forms (PCT/RO/101), (PCT/ISA/237), (PCT/IB/304) and (PCT/IB/308)

U.S. APPLICATION NO (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO	ATTORNEY'S DOCKET NUMBER																																																																																															
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DJD/jap